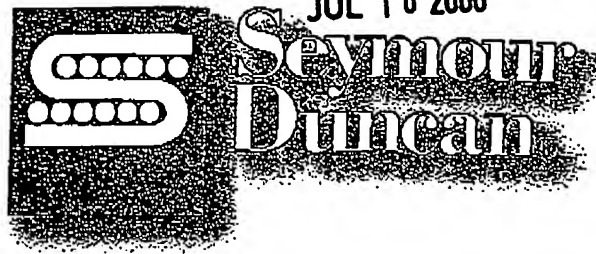


JUL 10 2006

Facsimile transmittal



To: USPTO

Fax: 571 2738300

From: Seymour Duncan

Date: 7/10/06

Re: Patent

Pages (including cover): 6

Phone: 805-964-9610 x 1010

Fax: 805-964-9749

E-mail:

☐ Urgent    ☐ For Review    ☐ Please Comment    ☐ Please Reply    ☐ Please Recycle

Notes:

JUL 10 2006

Doc Code:

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/764/322	
	Filing Date	01/22/2004	
	First Named Inventor	Kevin Belter	
	Art Unit	2837	
	Examiner Name	Merlon T. Fletcher	
Total Number of Pages in This Submission	5	Attorney Docket Number	1484

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	GB/96: STATEMENT under 37 CFR 3.73(b)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Copy of original assignment (2 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carter Duncan Corp. dba Seymour Duncan Pickups (Assignee)		
Signature			
Printed name	Sabina MacDonald, President Cathy Carter Duncan, CEO		
Date	7/7/06	Reg. No.	n/a

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Sabina MacDonald Cathy Carter Duncan	Date	7/7/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED  
CENTRAL FAX CENTER

JUL 10 2006

Doc Code:

PTO/SB/81 (01-05)

Approved for use through 12/31/2006. OMB 0001-0035

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10764,322
Filing Date	01/22/2004
First Named Inventor	Kevin Beller
Title	HUM CANCELING ELECTRIC PICKUP FOR
Art Unit	2837
Examiner Name	Marlon T. Fletcher
Attorney Docket Number	1484

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
J. E. McTaggart	29,754

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name J. E. McTaggart

Address

1860 Eastman Ave., Suite 105

City

Ventura

State

CA

Zip

93003

Country

U.S.A.

Telephone

805 339 0456

Email

jarnet@pacbell.net

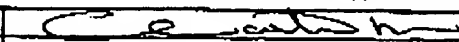
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE OF Applicant or Assignee of Record**

Signature		Date	7/7/06
Name	Dabine MacDonald	Telephone	805 964 9610 Ext. 1038
Title and Company	President, Carter Duncan Corp., dba Seymour Duncan Pickups		CEO

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 10 2006

Doc Code:

PTO/SB/36 (12-05)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Carter Duncan Corp. dba Seymour Duncan PickupsApplication No./Patent No./Control No.: 10784 322 Filed/Issue Date: 01/22/2004Entitled: **HUM CANCELING ELECTRICAL PICKUP FOR STRINGED MUSICAL INSTRUMENTS WITH TONE CHARACTERISTICS OF SINGLE COIL PICKUPS**Carter Duncan Corp. dba Seymour Duncan Pickups

(Name of Assignee)

, a Corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.09)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature7/7/06  
DateCathy Carter Duncan  
Printed or Typed Name805 964 9810 Ext. 1038  
Telephone NumberCEO  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUL 10 2006

DOCKET NO. SEY-004ASSIGNMENT

WHEREAS, I (We), KEVIN BELLER, a citizen of the UNITED STATES residing at 212 PRICE RANCH ROAD, LOS ALAMOS, CALIFORNIA 93440, U.S.A., has invented a(n) HUM CANCELLING ELECTROMAGNETIC PICKUP FOR STRINGED MUSICAL INSTRUMENTS WITH TONAL CHARACTERISTICS OF SINGLE COIL PICKUPS for which I (We) have executed application papers for a U.S. patent application thereon on \_\_\_\_\_; I (We) hereby authorize and request our attorney, Ronald Craig Fish of Ronald Craig Fish, a Law Corporation, P.O. Box 2258, Morgan Hill, California 95038 to insert here in parentheses (Application Number: \_\_\_\_\_, Filed: \_\_\_\_\_) the filing date and application number of said application when known; and

WHEREAS, CARTER DUNCAN CORPORATION, a CALIFORNIA CORPORATION, dba SEYMOUR DUNCAN PICKUPS (hereafter referred to as CARTER DUNCAN CORPORATION) having a place of business at 5427 HOLLISTER AVENUE, SANTA BARBARA, CA 93111-2345, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I (We), KEVIN BELLER, do hereby sell, assign, transfer and set over unto the said CARTER DUNCAN CORPORATION, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor and any and all divisions, reissues, and continuation applications thereof, not only for the United States of America, its territories and possessions, but also for all other countries including all priority rights under the International Convention; I authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from me; I (We) agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said CARTER DUNCAN CORPORATION, its successors and assigns, in accordance with this Assignment. I (We) declare that we understand the content of the patent application which is the subject of this assignment. I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

WITNESS MY HAND AT Santa Barbara, CA this 3 day of February, 2004.

Kevin Beller  
Signature

CA  
Santa Barbara ss.

On this 3 day of February, 2004, before me, a Notary Public,  
Lindsay Cullom, duly commissioned and sworn, personally appeared  
KEVIN BELLER, who is known to me to be the person whose name is subscribed to in the within  
instrument and acknowledged to me that he executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal  
in Santa Barbara the day and year first above written.

Lindsay Cullom  
NOTARY PUBLIC

